



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,579	12/22/2000	John A. Bracchitta	END920000155

CONFIRMATION NO. 2683

## FORMALITIES LETTER



\*OC000000005760247\*

Kevin P. Radigan, Esq.  
HESLIN & ROTHENBERG, P.C.  
5 Columbia Circle  
Albany, NY 12203

Date Mailed: 02/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Patricia McGuinness Marmillion, Bernadette Ann Pierson, Henry Charles Rickers*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

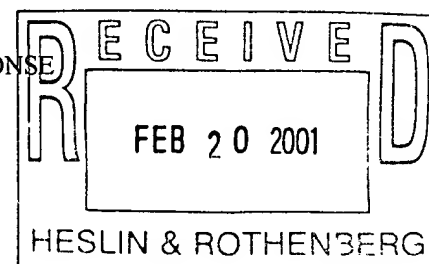
*M. Hagg*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 CCHAU1 00000020 090456 09748579

01 FC:105 130.00 CH



P31LARGE/REV03

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**END920000155US1**

Application Of: **Bracchitta, et al.**

Serial No.  
**09/748,579**

Filing Date  
**12/22/00**

Examiner

Group Art Unit  
**2164**

Invention: **INTELLECTUAL PROPERTY MANAGEMENT METHOD AND APPARATUS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

Total fees due **\$130.00**

Sector #

Response To Notice To File Missing Parts Of Application

Filing Date Granted (PTO-1533) (Large Entity)

Docket No.

END920000155US1

In Re Application Of: Bracchitta, et al.

MAR 26 2001

09/748,579

Filing Date

12/22/00

Examiner

Group Art Unit

2164

Invention: INTELLECTUAL PROPERTY MANAGEMENT METHOD AND APPARATUS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0456  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

Signature

Dated: March 16, 2001

Richard M. Kotulak  
Registration No. 27,712  
International Business Machines Corporation  
Intellectual Property Law - Mail 972E  
1000 River Street  
Essex Junction, Vermont 05452

Phone: (802)769-4457

CC:

I certify that this document and fee is being deposited on 3-21-01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Pat Guillemette  
Signature of Person Mailing Correspondence

Pat Guillemette

Typed or Printed Name of Person Mailing Correspondence

**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/748,579	<b>FILING DATE</b> 12/22/2000 <b>RULE</b> _	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> END920000155
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**APPLICANTS**

John A. Bracchitta, South Burlington, VT ;  
Patricia M. Marmillion, Colchester, VT ;  
Bernadette A. Pierson, South Hero, VT ;  
Henry C. Rickers, Poughkeepsie, NY ;  
Howard J. Walter JR., Shelburne, VT ;

**RECEIVED**

MAR 15 2001

Technology Center 2100

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 02/09/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> VT	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 56	<b>INDEPENDENT CLAIMS</b> 7
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

Kevin P. Radigan, Esq.  
HESLIN & ROTHENBERG, P.C.  
5 Columbia Circle  
Albany, NY 12203

**TITLE**

Intellectual property management method and apparatus

<b>FILING FEE RECEIVED</b> 1678	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

## HESLIN &amp; ROTHENBERG, P.C.

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INTELLECTUAL PROPERTY LAW  
PATENTS TRADEMARKS COPYRIGHTS

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March 12, 2001

VIA FACSIMILE

NO. OF PAGES: 4 (including this page)

TO: Customer Correction Branch  
Assistant Commissioner for Patents  
Application Processing Division  
Washington, D.C. 20231

Fax No.: (703) 308-7751

Re: U.S. Patent Application  
Title: INTELLECTUAL PROPERTY MANAGEMENT METHOD  
AND APPARATUS  
Inventors: Bracchitta et al.  
IBM Docket No.: END920000155  
Our Ref. No.: 0827.076

Message: Transmitted herewith is a Communication Requesting  
Correction of Official Filing Receipt for the above-identified  
application

Very truly yours,  
HESLIN & ROTHENBERG, P.C.

*Kevin P. Radigan*  
Kevin P. Radigan

KPR/slm  
Attachment

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bracchitta et al.

Serial No.: 09/748,519

Group Art Unit: 2164

Filed: December 22, 2000

Examiner:

Title: INTELLECTUAL PROPERTY MANAGEMENT METHOD AND APPARATUS

Certificate of Facsimile Transmission

I hereby certify that this correspondence is being transmitted by facsimile to Assistant Commissioner for Patents, Application Processing Division's Customer Correction Branch, Facsimile No. (703) 308-7751, on March 12, 2001.

Kevin P. Radigan  
Kevin P. Radigan  
Attorney for Applicants  
Registration No. 31,789

Date of Signature: March 12, 2001

To: Assistant Commissioner for Patents  
Application Processing Division  
Customer Correction Branch  
Washington, D.C. 20231

COMMUNICATION REQUESTING CORRECTION  
OF OFFICIAL FILING RECEIPT

Sir:

Upon our review of the Official Filing Receipt for the above-identified application, we noted the following errors:

END920000155

-1-

INCORRECT INVENTOR INFORMATION

Howard J. Walter

CORRECT INVENTOR INFORMATION

--Howard J. Walter, Jr.--

A copy of the Filing Receipt is enclosed herein with the noted corrections. Applicants hereby request that a corrected Official Filing Receipt indicating the above-referenced correction be issued.

Respectfully submitted,

Kevin P. Radigan  
Kevin P. Radigan  
Attorney for Applicants  
Registration No. 31,789

Dated: March 12, 2001

HESLIN & ROTHENBERG, P.C.  
5 Columbia Circle  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/748,579	12/22/2000	2164	1678	END920000155	5	56	7

Kevin P. Radigan, Esq.  
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5 Columbia Circle  
Albany, NY 12203

821 076

CONFIRMATION NO. 2683

## FILING RECEIPT



\*OC000000005760246\*

Date Mailed: 02/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John A. Bracchitta, South Burlington, VT;  
Patricia M. Marmillion, Colchester, VT;  
Bernadette A. Pierson, South Hero, VT;  
Henry C. Rickers, Poughkeepsie, NY;  
Howard J. Waller, Shelburne, VT;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Intellectual property management method and apparatus

